F. TENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT Washington, D.C.20231 ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year) 14 March 2000 (14.03.00)	in its capacity as elected Office			
International application No. PCT/GB99/02462	Applicant's or agent's file reference MO37DTD4065			
International filing date (day/month/year) 28 July 1999 (28.07.99)	Priority date (day/month/year) 29 July 1998 (29.07.98)			
Applicant				
MERTENS, Machteld, M. et al				

in a notice effecting later election filed with the International Burea The election X was	
	u on:
The election X was	
The election X was	
<u> </u>	
was not	
made before the expiration of 19 months from the priority date or, where Rule 32.2(b).	Rule 32 applies, within the time limit under
BEST AVAI	LABLE COPY

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Pascal Piriou
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHOR

ABEL

To: NOTIFICATION OF TRANSMITTAL OF ABEL & IMRAY THE INTERNATIONAL SEARCH REPORT Attn. DARBY, D. OR THE DECLARATION 20 Red Lion Street London WC1R 4PQ (PCT Rule 44.1) UNITED KINGDOM Date of mailing (day/month/year) 23/11/1999 Applicant's or agent's file reference FOR FURTHER ACTION See paragraphs 1 and 4 below M037DTD4065 International application No. International filing date (day/month/year) 28/07/1999 PCT/GB 99/02462 Applicant EXXON CHEMICAL PATENTS, INC. et al.

1.	X	The appl	icant is hereby n	otified that the International Search Report has been established and is transmitted herewith.
		Filing of The appl	amendments a icant is entitled, i	nd statement under Article 19: f he so wishes, to amend the claims of the International Application (see Rule 46):
	•	When?	The time limit for International Se	or filing such amendments is normally 2 months from the date of transmittal of the arch Report; however, for more details, see the notes on the accompanying sheet.
		Where?	Directly to the	International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Fascimile No.: (41–22) 740.14.35
		For mor	e detailed instru	uctions, see the notes on the accompanying sheet.
2.		The appl Article 17	icant is hereby n 7(2)(a) to that eff	otified that no International Search Report will be established and that the declaration under ect is transmitted herewith.
3.		With reg	ard to the prote	est against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:
		the app	protest together plicant's request	with the decision thereon has been transmitted to the International Bureau together with the to forward the texts of both the protest and the decision thereon to the designated Offices.
		no no	decision has bee	en made yet on the protest; the applicant will be notified as soon as a decision is made.
4.	Furt	her actio	n(s): The appl	icant is reminded of the following:
	If t	he applica	ant wishes to avo	the priority date, the international application will be published by the International Bureau. Bid or postpone publication, a notice of withdrawal of the international application, or of the International Bureau as provided in Rules 90 <i>bis</i> .1 and 90 <i>bis</i> .3, respectively, before the reparations for international publication.
	With wi	in 19 moi shes to po	nths from the pri- estpone the entry	ority date, a demand for international preliminary examination must be filed if the applicant into the national phase until 30 months from the priority date (in some Offices even later).
	be	fore all de	signat d Offices	ority date, the applicant must perform the prescribed acts for entry into the national phase which have not been elected in the demand or in a later election within 19 months from the elected because they are not bound by Chapter II.

Name and mailing addr ss of the International Searching Authority

European Patent Office, P.B. 5818 Patentiaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Authorized officer

Alex Schmidt

NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international polication. Furthermore, it should be emphasized that provisional protection is available in some States only.

What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been its filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application i English, the letter must be in English; if the language of the international application is French, the letter must be in French.

NOTES TO FORM PCT/ISA/220 (c ntinued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped),whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
 "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers;
 claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]:
 "Claims 1 to 15 replaced by amended claims 1 to 11."
- (Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims):
 "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
 - "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- 4. [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

"Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

it must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

PATENT COOPERATION TREATY

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INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification o	f Transmittal of International Search Report 20) as well as, where applicable, item 5 below.
MO37DTD4065	ACTION	20, do well do, where applicable, tell o serem
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/GB 99/02462	28/07/1999	29/07/1998
Applicant		
EXXON CHEMICAL PATENTS, I	NC. et al.	
This International Search Report has been according to Article 18. A copy is being tra	n prepared by this International Searching Auth	nority and is transmitted to the applicant
This International Search Report consists	2	report.
Basis of the report		
 a. With regard to the language, the language in which it was filed, unl 	international search was carried out on the bases otherwise indicated under this item.	sis of the international application in the
the international search w Authority (Rule 23.1(b)).	ras carried out on the basis of a translation of t	he international application furnished to this
b. With regard to any nucleotide an was carried out on the basis of th	d/or amino acid sequence disclosed in the ir e sequence listing: onal application in written form.	nternational application, the international search
	emational application in computer readable for	m.
	this Authority in written form.	
furnished subsequently to	this Authority in computer readble form.	
the statement that the sul international application a	psequently furnished written sequence listing one is the sequence listing of t	loes not go beyond the disclosure in the
the statement that the info furnished	ormation recorded in computer readable form i	s identical to the written sequence listing has been
2. Certain claims were fou	nd unsearchable (See Box I).	•
3. Unity of invention is lac	king (see Box II).	·
4. With regard to the title,	•	
	ubmitted by the applicant.	
the text has been establis	shed by this Authority to read as follows:	
	•	
5. With regard to the abstract,	ubmitted by the applicant.	
the text has been established	shed, according to Rule 38.2(b), by this Author e date of mailing of this international search re	ity as it appears in Box III. The applicant may, port, submit comments to this Authority.
6. The figure of the drawings to be pub	lished with the abstract is Figure No.	·
as suggested by th app	licant.	X None of the figures.
because the applicant fa		
because this figure bette	r characteriz s the invention.	

International Application No

PCT/GB 99/02462

IPC 7 C01B37/00 C01B39/02 B01J37/02 B01J29/04

C01B39/26 B01J20/18 C01B39/44 C10G3/00

CO1B39/48

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\begin{array}{ll} \mbox{Minimum documentation searched (classification system followed by classification symbols)} \\ \mbox{IPC 7} & \mbox{C01B} \end{array}$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 110 650 A (MOBIL OIL CORP) 13 June 1984 (1984-06-13)	1,2,5, 7-9,11, 15-17, 19,20, 22-24
·	claims 1,9-11,13,14 page 2, line 27 - line 34 page 4, line 6 - line 21 page 5, line 1 - line 4 page 6, line 21 - line 30 page 9, line 18 - line 36 page 10, line 13 - line 20	
Α	page 10, Time 13 Time 20	12,13
X	WO 93 08124 A (EXXON CHEMICAL PATENTS INC) 29 April 1993 (1993-04-29) claim 12	15,16
	-/	

X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
 Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed 	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search 16 November 1999	Date of mailing of the international search report 23/11/1999
Name and mailing address of the ISA	Authorized officer

European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Rigondaud, B

International Application No-PCT/GB 99/02462

	CONSIDERED TO BE RELEVANT	1017 00 337 02402
:	ation) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Category °	Citation of document, with indication, where appropriate, of the resource passages	1.000.000
X	WO 97 03020 A (EXXON CHEMICAL PATENTS INC) 30 January 1997 (1997-01-30) cited in the application claims 9,13,14,16 page 11, line 19 -page 12, line 11	14-16
Α	GORA L ET AL: "Investigations of secondary nucleation by initial breeding in clear solution zeolite NaA systems" ZEOLITES,US,ELSEVIER SCIENCE PUBLISHING, vol. 15, no. 6, page 526-534 XP004033560 ISSN: 0144-2449 the whole document	1
A	EP 0 202 797 A (MOBIL OIL CORP) 26 November 1986 (1986-11-26) column 7, line 5 - line 8	. 1
A	GB 2 132 597 A (GRACE W R & CO) 11 July 1984 (1984-07-11) page 3, line 1 - line 2	-1
A	WO 93 08125 A (EXXON CHEMICAL PATENTS INC) 29 April 1993 (1993-04-29) claim 13	14
Α	WO 94 25152 A (EXXON CHEMICAL LTD) 10 November 1994 (1994-11-10) cited in the application claim 1	19,21
Α	US 3 808 326 A (MC DANIEL C ET AL) 30 April 1974 (1974-04-30)	

Information on patent family members

				<u></u> <u></u>	C17 GD	99/02402
Patent document cited in search report		Publication date		Patent family member(s)		Publication date
EP 0110650	A	13-06-1984	AU BR CA DK JP JP JP NZ ZA	564738 2151183 8306419 1213576 531283 1776029 4063010 59131521 206299 8308722	3 A 3 A 3 A,B, 5 C 3 B 4 A 5 A	27-08-1987 31-05-1984 26-06-1984 04-11-1986 23-05-1984 28-07-1993 08-10-1992 28-07-1984 10-09-1986 31-07-1985
WO 9308124	A	29-04-1993	CA DE DE EP ES JP MX US	2122049 69204850 69204850 0609270 2077433 7500563 9206080 567233	D D D T D A B T I T D A	29-04-1993 19-10-1995 22-02-1996 10-08-1994 16-11-1995 19-01-1995 01-05-1993 30-09-1997
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EP 0202797	Α .	26-11-1986	AU AU BR CA DE JP JP JP NZ US US		6 A A A A A A A A A A A A A A A A A A A	10-05-1990 20-11-1986 13-01-1987 26-09-1989 11-03-1993 15-11-1986 06-09-1994 18-11-1993 19-11-1986 27-07-1989 11-07-1989 18-04-1989 08-09-1992
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WO 9308125	Α	29-04-1993	CA DE DE EP ES JP MX	6922188 6922188 060930 210876 750296	35 D 35 T 34 A 34 T 34 T	29-04-1993 02-10-1997 02-04-1998 10-08-1994 01-01-1998 30-03-1995 01-05-1993

information on patent family members

International Application No. PCT/GB 99/02462

Pa cited	tent document in search repor	t	Publication date		Patent family member(s)	_	Publication date
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MU 	9425152	 A	10-11-1994	AT	16858	35 T	15-08-1998
NO	7423132	••		AU	654369	94 A	21-11-1994
				AU	69890)9 B	12-11-1998
				AU	664879	94 A	21-11-1994
				AU	941679	98 A	18-02-1999
				BR	940648	83 A	09-01-1996
				CA	21612		10-11-1994
				CN	11244	66 A	12-06-1996
				CN	11917		02-09-1998
				DE	694062	82 D	20-11-1997
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		e ^e		DΈ	694119	05 T	25-03-1999
				WO	94251	51 A	10-11-1994
				ΕP	06952	15 A	07-02-1996
				EP	06952		07-02-1996
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				SG	481	09 A	17-04-1998
				US	57233		03-03-1998
	3808326		30-04-1974	NON	 _		

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference						
Applicant's or agent's file reference M037DTD4065	FOR FURTHER ACTION		ion of Transmittal of International Examination Report (Form PCT/IPEA/416)			
International application No.	International filing date (da	y/month/year)	Priority date (dayimonthiyear)			
PCT/GB 99/ 02462	28/07/1999		29/07/1998			
International Patent Classification (IPC) or		С				
	C01B37/00					
Applicant						
EXXON CHEMICAL PATENTS,	INC. et al.					
This international preliminary exa Authority and is transmitted to th	mination report has been prep e applicant according to Articl	ared by this Interr e 36.	national Preliminary Examining			
2. This REPORT consists of a tota	d of S sheets, includ	ing this cover shee	et.			
been amended and are the ba	nied by ANNEXES, i.e., shee asis for this report and/or shee 607 of the Administrative Inst	ts containing recti	on, claims and/or drawings which have fications made before this Authority PCT).			
These annexes consists of a total of	of sheets.					
3. This report contains indications re	elating to the following items:					
I X Basis of the report			•			
II Priority						
III Non-establishment of	opinion with regard to novelty	, inventive step an	d industrial applicability			
IV Lack of unity of inven	tion					
V Reasoned statement un			ve step or industrial applicability;			
VI Certain documents cite	e d					
	international application					
VIII M Certain observations of	on the international application	•				
			•			
Date of submission of the demand	D	ate of completion	of this report			
22/02/2000	·	2 9	9. 09. 00			
Name and mailing address of the IPEA/	Au	thorized officer	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\			
European Patent Office D-80298 Munich Tel. (+49-89) 2399-0, Tx: 523 Fax: (+49-89) 2399-4465	656 epmu d	Ann	ofte of the state			
Form PCT/IPEA/409 (cover sheet) (July 19	(16/05/	2000)	201HO - 30/HO .			

PCT/GB99/02462

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

I.	Basis	of the	report
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		der Article 14 are referred to in this report as	ent sheets which have been furnished to the receiving Office in response to a "originally filed" and are not annexed to the report since they do not contain
	×	the international application as originally fi	led .
	0	the description, pages	, as originally filed
		pages	, filed with the demand
		pages	, filed with the letter of
		the claims, Nos.	, as originally filed
		Nos.	, as amended under Article 19
		Nos.	, filed with the demand
		Nos.	, filed with the letter of
		the drawings, sheets / fig.	, as originally filed
		sheets / fig.	, filed with the demand
		sheets / fig.	, filed with the letter of
2.	The amend	nents have resulted in the cancellation of:	
		the description, pages:	
		the claims, Nos.	
	0	the drawings, sheets / fig.	•
3.		s report has been established as if (some of) ond the disclosure as filed (Rule 70.2 (c)).	the amendments had not been made, since they have been considered to go

4. Additional observations, if necessary:

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citati ns and explanations supporting such statement

1. Statement

Novelty	Claims	3, 4, 6 (7-15, 17-24)*	YES
	Claims	1, 2, 5	NO
Inventive Step	Claims	(6-15, 17-24)*	YES
•	Claims	3, 4	NO
Industrial Applicability	Claims	1-24	YES
	Claims		NO
		*as far as dependent directly or indirectly upon	

claim 6

2. Citations and Explanations

The process of claim 1 aims at the production of seed crystals of molecular sieve. 1. The process of claim 1 is described in very general terms and consists in a "treatment of an appropriate synthesis mixture" whereby molecular sieve particles of various size are obtained, and further separate these particles into a group of "larger" particles and a group of "smaller" particles, which will then be used as seeds.

Claim 1 does not recite any specific separation step of the two groups of particles; therefore it encompasses all known separation technics.

D1 (EP 0 110 650) recites in its example 1 (page 10 lines 17-22) the use of seed crystals obtained by water-washing crystals separated by filtration from the mother liquor in which the same were formed.

It is thus understood from D1 that zeolitic crystals obtained by "treatment of an appropriate synthesis mixture" were separated by filtration, water- washed, and that the resulting aqueous medium contained the said seeds.

Therefore, claim 1 lacks novelty over D1.

The same objection is made towards claims 2, 5.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

- 2. Although D1 does not explicitly recite in its example 1 the decanting and centrifuging technics, these are well known by the skilled practitioner so that claims 3, 4 lack an inventive step.
- 3. As far as claim 6 is concerned it should be noted that D1's teaching is limited to a separation by filtration, followed by a single water-washing of the as synthesized crystals.

D1 does not suggest or disclose to wash the said crystals <u>more than orle</u> (compare with present examples, for instance examples 1-4, especially example 2). The specific teaching of this application can be seen in that the wash-water of a subsequent water-washing of the said crystals exhibits unexpected characteristics.

In particular, it was noticed that, while the <u>first washwater appeared to be clear</u>, rather surprisingly a subsequent washwater was <u>hazy</u>. Analysis showed that the washwater was hazy because it contained <u>not the expected traces of unreacted starting materials but rather very small product zeolite crystals</u>. These minute crystals have been found to be very effective as seeds in subsequent zeolite synthes is, and may be used if desired in the form in which they were derived, ie the hazy washwater itself.

None of the available literature disclosing or suggesting this process for gaining seeds or a seeding mixture, claim 6 is regarded as being both novel and inventive over the said literature.

The same conclusion extends to claims 7-10 and 11-15, 17-22 as far as they directly or indirectly depend upon claim 6.

4. As far as claim 16 is concerned, please see box VIII hereinafter.

International application No.

PCT/GB99/02462

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

Claim 16 is drawn as a <u>process</u> claim; since claim 15 is a use claim, claim 16 cannot be dependent upon claim 15. Therefore, claim 16 lacks clarity.